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New Annulation Reactions of Cyclobutenones

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Abstract: Dichlorocyclobutenones 1a and 1c were exploited in the synthesis of unsaturated lactones 9 and 10 via intramolecular entrapment of a vinylketene with an alcohol. In contrast, thermolysis of dichlorocyclobutenones 1b or 1d in methanol led to unsaturated ketone 14 through deprotection, intramolecular Michael addition of the alcohol to the cyclobutenone and torquoselective ring opening. © 1997 Elsevier Science Ltd.

Cyclobutenones have emerged as versatile synthons in recent years as a result of electrocyclic ring opening to vinylketenes and subsequent electrocyclic cascades. These reactions have produced eight-membered carbocycles, phenols, quinones and a variety of heterocycles.¹ Less attention has been directed toward intramolecular entrapment of vinylketenes with heteroatoms such as oxygen to afford unsaturated lactones.² In previous studies, we demonstrated that 4,4-dichlorocyclobutenones are stable in refluxing methanol (65°C), but undergo electrocyclic ring opening in refluxing butanol (117°C) to form vinylketenes which can be trapped to produce unsaturated esters.^{3a} We envisioned the intramolecular version of this process to proceed by refluxing a solution of 2 in toluene (110°C) to afford vinylketene 3 which would cyclize to 4 (Scheme 1). We anticipated the double bond in 4 would occupy the β , γ -position in accord with our results for acyclic analogues. Isomerization to the α , β -unsaturated isomer 5 could then be effected by treatment with base. In this communication we report the successful implementation of this strategy for synthesis of the isomeric lactones 4 and 5 (n=2) and describe a novel rearrangement of 1 containing a longer chain substituent (n=3).



Scheme 1

The compounds required for this study were readily prepared from commercially available alkynols. Protection of the alcohol as an acetate or TBDMS ether to afford 6 followed by cycloaddition with dichloroketene according to previously established methodology³ furnished cyclobutenones **1a-d** (Table 1). Satisfactory yields were achieved for the acetates **1a-b**. TBDMS-cyclobutenones **1c-d** could not be obtained in comparable yield due to extensive desilylation under the conditions of the cycloaddition.

Table 1. Cycloaddition of Dichloroketene to Alkynes, 6.



Deprotection of 1a (MeOH/reflux/6 h) or 1c (THF/AcOH/H₂O) produced the alcohol 7 which was obtained in 60% and 76% yield, respectively, after flash chromatography. When a solution of 7 in toluene was refluxed for 16 h, the β , γ -unsaturated lactone 9 was obtained in 67% yield (Scheme 2). The structure of 9 was confirmed by single crystal X-ray analysis.⁴ Compound 9 could also be prepared in 54% overall yield without isolation of 7 by refluxing a solution of 1c in methanol, replacement of the methanol with toluene and refluxing for 16 h. Crystalline 9 recovered by flash chromatography and recrystallization from ether was stable to isomerization. Treatment of 9 with pyridine for 16 h led to nearly complete conversion to 10.



Attempted extension of this methodology to a cyclobutenone containing one additional carbon in the sidechain resulted in a different reaction pathway. When a solution of **1b** in methanol was refluxed for 8 h, the unsaturated ketone **14** was formed in 78% yield (Scheme 3). The structure of **14** was confirmed by single crystal X-ray analysis.⁵⁻⁷





The formation of 14 can be rationalized by transesterification of the ester 1b with methanol followed by intramolecular Michael addition of the alcohol moiety in 11 to the strained cyclobutenone. Electrocyclic ring opening of the spirocyclic enol 12 then leads to 14. Surprisingly, this reaction even proceeded slowly at room temperature. After stirring the silyl analogue 1d for 10 d in methanol, a 62% yield of 14 was obtained. It was surprising that this reaction proceeded without the addition of an acid catalyst.⁸ The *E*-stereochemistry of the olefin is also noteworthy and consistent with *torquoselective*⁹ outward rotation of the ring-oxygen away from the chlorine substituents during the ring-opening of 12. Some evidence for this mechanism was provided by deuterium labeling experiments. Refluxing a solution of 1b in CD₃OD for 8 h led to nearly complete incorporation of deuterium on both of the carbons α to the ketone to afford 15 (Scheme 4). Surprisingly, deuterium exchange also occurred on the allylic ring-carbon.¹⁰ Deuterium exchange was not observed on the carbons α or γ to the carbonyl group when a solution of 7 or 14 was refluxed in CD₃OD for 8 h. Recovered 1b from incomplete conversion to 15 also showed little deuterium incorporation. These results suggest that a rapid addition-elimination equilibrium occurs between 11 and 12 via 16 prior to cyclobutene ring opening.





Further investigations regarding the mechanism and scope of the annulations leading to 9, 10 and 14 and the isomerization of 9 to 10 are in progress. In particular, chiral compounds analogous to 6 derived from asymmetric epoxidation followed by ethynylation, should allow for the synthesis of a wide array of chiral, unsaturated lactones. The preparation of sulfur and nitrogen-containing heterocycles by these annulation reactions, as well as the potential for these compounds in the synthesis of natural products, is also under investigation in our laboratories.

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References and Notes

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- 3. (a) Hassner, A.; Dillon, J. L. J. Org. Chem. 1983, 48, 3382. (b) The preparation of 1b in 80% yield was reported using a modification of our original procedure, see Danheiser, R. L.; Savariar, S. Tetrahedron Lett. 1987, 28, 3299.
- 4. A crystal of 9, obtained as an unstable, colorless plate from ether and measuring 0.25 mm X 0.35 mm X 0.45 mm was used for X-ray diffraction measurements. The six-membered ring of 9 adopts a boat conformation. Crystal data: C₆H₆Cl₂O₂, Orthorhombic, space group *Pbca*, a=7.3471(6) Å, b=12.700(1) Å, c=16.0319(6) Å, α=β=γ=90.00°, V=1496.0(2) Å³, Z=8, d_x=1.607 g cm⁻³. A total of 1531 independent reflections were measured of which 1190 were observed with |1|≥3σ. Final agreement factors were R(F)=0.060 and wR(F)=0.083 for 92 variables.
- 5. (a) A crystal of 14, obtained as an unstable, colorless plate from ether-hexane and measuring 0.15 mm X 0.30 mm X 0.40 mm was used for X-ray diffraction measurements. Crystal data: C₇H₈Cl₂O₂; Triclinic, space group Fi, a=5.7811(8) Å, b=8.872(1) Å, c=17.440(2) Å, α=85.07(1)°, β=88.08(1)°, γ=82.70(1)°, V=883.7(2) Å³, Z=4, d_x=1.466 g cm⁻³. A total of 3684 independent reflections were measured of which 1805 were observed with |1|≥3σ. Final agreement factors were R(F)=0.055 and wR(F)=0.067 for 256 variables. (b) The authors have deposited atomic coordinates for compounds 9 and 14 with the Cambridge Crystallographic Data Center. The coordinates can be obtained on request from the Director, Cambridge Crystallographic Data Center, 12 Union Road, Cambridge, CB2 IEZ, UK.
- 6. For a synthesis of compounds analogous to 14 by a different route see Detty, M. R. J. Org. Chem. 1979, 44, 2073.
- 7. All of the new compounds reported in this paper were characterized by ¹H and ¹³C NMR, FTIR and mass spectrometry.
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